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**(a)** Name and address of the agents, brokers or other persons to whom commissions or fees were paid

**(b)** Amount of commissions paid

**(c) Fees paid/Amount**



(e) Organization code

**(d) Fees paid/Purpose**

[illegible]

**(a)** Name and address of the agents, brokers or other persons to whom commissions or fees were paid

[illegible]

(b) Amount of commissions paid

(c) Fees paid/Amount

\$0.00

(e) Organization code

code

**(d) Fees paid/Purpose**

[illegible]

(a) Name and address of the agents, brokers or other persons to whom commissions or fees were paid

[illegible]

**(b)** Amount of commissions paid

(c) Fees paid/Amount

(e) Organization code

(d) Fees paid/Purpose

[illegible]

## Part II Investment and Annuity Contract Information

Where individual contracts are provided, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

**3** Current value of plan's interest under this contract in the general account at year end

100

4 Current value of plan's interest under this contract in separate accounts at year end

100

## 5 Contracts With Allocated Funds

**a** State the basis of premium rates

**VOLUME**

**b** Premiums paid to carrier.....

**c** Premiums due but unpaid at the end of the year .....

d If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, enter amount .....

Specify nature of costs .....

[illegible]

<b>e</b>	Type of contract	(1)	individual policies	(2)	group deferred annuity
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(3) ☐ other (specify below)

[illegible]

**f** If contract purchased, in whole or in part, to distribute benefits from a terminating plan check here ..... ►



**6** Contracts With Unallocated Funds (Do not include portions of these contracts maintained in separate accounts)

**a** Type of contract

- (1) ☐ deposit administration      (2) ☐ immediate participation guarantee      (3) ☐ guaranteed investment
- (4) ☐ other (specify below)

REF

**b** Balance at the end of the previous year .....

FO .00

**c Additions:**

- (1) Contributions deposited during the year .....
- (2) Dividends and credits .....
- (3) Interest credited during the year .....
- (4) Transferred from separate account .....
- (5) Other (specify below) .....

[illegible]

(6) Total additions .....

**d** Total of balance and additions (add **b** and **c(6)**).....

**e Deductions:**

- (1) Disbursed from fund to pay benefits or purchase annuities during year .....
- (2) Administration charge made by carrier .....
- (3) Transferred to separate account .....
- (4) Other (specify below) .....

▶ 

(5) Total deductions .....

**f** Balance at the end of the current year (subtract **e(5)** from **d**) .....

0 5 0 5 A A 0 4 0 Y



## Part III Welfare Benefit Contract Information

If more than one contract covers the same group of employees of the same employer(s) or members of the same employee organization(s), the information may be combined for reporting purposes if such contracts are experience-rated as a unit. Where individual contracts are provided, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

**7** Benefit and contract type (check all applicable boxes)

- |            |                          |  |            |                          |                      |            |                          |                           |            |                          |                    |
|------------|--------------------------|--|------------|--------------------------|----------------------|------------|--------------------------|---------------------------|------------|--------------------------|--------------------|
| <b>(a)</b> | <input type="checkbox"/> | Health (other than dental or vision)         | <b>(b)</b> | <input type="checkbox"/> | Dental               | <b>(c)</b> | <input type="checkbox"/> | Vision                    | <b>(d)</b> | <input type="checkbox"/> | Life Insurance     |
| <b>(e)</b> | <input type="checkbox"/> | Temporary disability (accident and sickness) | <b>(f)</b> | <input type="checkbox"/> | Long-term disability | <b>(g)</b> | <input type="checkbox"/> | Supplemental unemployment | <b>(h)</b> | <input type="checkbox"/> | Prescription drug  |
| <b>(i)</b> | <input type="checkbox"/> | Stop loss (large deductible)                 | <b>(j)</b> | <input type="checkbox"/> | HMO contract         | <b>(k)</b> | <input type="checkbox"/> | PPO contract              | <b>(l)</b> | <input type="checkbox"/> | Indemnity contract |
| <b>(m)</b> | <input type="checkbox"/> | Other (specify below)                        |            |                          |                      |            |                          |                           |            |                          |                    |


► 


## 8 Experience-rated contracts


**a Premiums:**


- |  |             |     |
|--|-------------|-----|
| (1) Amount received .....                                    | <div></div> | .00 |
| (2) Increase (decrease)<br>in amount due but unpaid .....    | <div></div> | .00 |
| (3) Increase (decrease) in<br>unearned premium reserve ..... | <div></div> | .00 |
| (4) Earned ((1) + (2) - (3)).....                            | <div></div> | .00 |

**b** Benefit charges:

- (1) Claims paid .....  .00

(2) Increase (decrease) in claim reserves .....  .00

(3) Incurred claims (add (1) and (2)) .....  .00

(4) Claims charged .....  .00

0 5 0 5 A A 0 5 0 Z



**8c** Remainder of premium:

(1) Retention charges (on an accrual basis) --

(A) Commissions .....

(B) Administrative service or other fees .....

(C) Other specific acquisition costs .....

(D) Other expenses .....

(E) Taxes .....

(F) Charges for risks or other contingencies .....

(G) Other retention charges .....

(H) Total retention .....

(2) Dividends or retroactive rate refunds.

(These amounts were 1) ☐ paid in cash, or 2) ☐ credited.) ...**d** Status of policyholder reserves at end of year:

(1) Amount held to provide benefits after retirement .....

(2) Claim reserves .....

(3) Other reserves .....

**e** Dividends or retroactive rate refunds due.

(Do not include amount entered in c(2).) .....

**9** Nonexperience-rated contracts:**a** Total premiums or subscription charges paid to carrier .....

**b** If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, other than reported in Part I, item 2 above, report amount .....

Specify nature of costs below

